



PART B - FEE(S) TRANSMITTAL

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
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Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Cathy Nelson	(Depositor's name)
<i>Cathy Nelson</i>	(Signature)
April 27, 2005	(Date)

23713 7590 02/07/2005  
GREENLEE WINNER AND SULLIVAN P C  
4875 PEARL EAST CIRCLE  
SUITE 200  
BOULDER, CO 80301  
04/29/2005 EHAILE2 00000066 09712612  
01 FC:2501 700.00 DP  
02 FC:8001 30.00 DP  
EXPRESS MAIL # 663 224 160 US

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/712,612	11/13/2000	Duy H. Hua	90-99	9612

TITLE OF INVENTION: METHODS OF TREATING CATARACTS AND DIABETIC RETINOPATHY WITH TRICYCLIC PYRONES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$0	\$700	05/09/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
FAY, ZOHREH A	1614	514-291000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
- ☐ Change of correspondence address (or Change of Correspondence Address Form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list
- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

- 1 GREENLEE, WINNER and  
2 SULLIVAN, P.C.  
3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Kansas State University Research Foundation

Manhattan, Kansas

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☐ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 07-1969 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

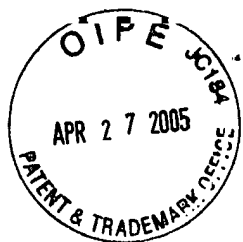
The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature *Susan K. Doughty*  
Typed or printed name Susan K. Doughty

Date April 27, 2005  
Registration No. 43,595

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/712,612 Confirmation No. 9612  
Applicant : Duy H. Hua  
Filed : November 13, 2000  
TC/A.U. : 1614  
Examiner : Fay, Zohreh A.  
For : Methods of Treating Cataracts and  
Diabetic Retinopathy with Tricyclic Pyrones  
Docket No. : 90-99  
Customer No.: 23713

Commissioner for Patents  
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Alexandria, VA 22313-1450

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for <b>Express Mail</b> in an envelope addressed to: Commissioner for Patents, Mail Stop Issue Fee, PO Box 1450, Alexandria, VA 22313-1450	
4/27/05 Date	Cathy Nelson Cathy Nelson
EV 663 224 160 US Express Mail Tracking Number	

TRANSMITTAL

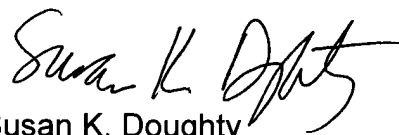
Sir:

Included herewith are the following:

- Part B - Fee(s) Transmittal - Form PTOL-85B and
- Check in the amount of \$730.00 (\$700.00 for the issue fee for small entity and \$30.00 for 10 copies).

If the fee submitted is incorrect, please deduct any required fee from deposit account 07-1969.

Respectfully submitted,

  
Susan K. Doughty  
Reg. No. 43,595

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Attorney docket No. 90-99  
April 27, 2005